

**Notice of References Cited**

Application/Control No.

10/697,541

Applicant(s)/Patent Under  
Reexamination  
BUCKWALTER ET AL.

Examiner

SHAHID R. MERCHANT

Art Unit

3692

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**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-			
	B	US-			
	C	US-			
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**FOREIGN PATENT DOCUMENTS**

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**NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	Rule 11Ac1-5, Jonathan Katz, U.S. Securities and Exchange Commission, November 17, 2000, pages 1-68.
	V	Rule 11Ac1-7, Jonathan Katz, U.S. Securities and Exchange Commission, November 17, 2000, pages 1-66.
	W	Trade Execution, What Every Investor Should Know, U.S. Securities and Exchange Commission, March 27, 2001, pages 1-4.
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\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
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